

1 **Baseline and on-statin treatment lipoprotein(a) levels predict cardiovascular events:**
2 **An individual-patient-data meta-analysis of statin outcome trials**

3 **Brief title:** Lp(a) and CVD risk in statin outcome trials

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34 **Abstract (300 words)**

35 **Background:** Elevated lipoprotein(a) [Lp(a)] is a genetic risk factor for cardiovascular disease
36 (CVD) in general population studies, but its contribution to CVD risk in patients with
37 established CVD or on statin therapy is uncertain.

38 **Methods:** Patient-level data from seven randomized placebo-controlled statin outcomes trials
39 were collated and harmonized to calculate hazard ratios for CVD, defined as fatal or non-fatal
40 coronary heart disease, stroke, or revascularisation procedures. Hazard ratios for CVD were
41 estimated within each trial across pre-defined Lp(a) groups (15-<30, 30-<50, and \geq 50 vs. <15
42 mg/dL), before pooling estimates using multivariate random-effects meta-analysis.

43 **Findings:** Analyses included data for 29069 patients with repeat Lp(a) measurements (mean
44 age 62 years; 28% female; 5751 events during 95576 person-years at risk). Initiation of statin
45 therapy reduced low-density-lipoprotein cholesterol (mean change [95% CI]: -39% [-43, -35])
46 without a significant change in Lp(a). Associations of baseline and on-statin treatment Lp(a)
47 with CVD risk were approximately linear with increased risk at Lp(a) values \geq 30 mg/dL for
48 baseline Lp(a) and \geq 50 mg/dL for on-statin Lp(a). Age- and sex-adjusted hazard ratios across
49 Lp(a) groups [referent: Lp(a) <15 mg/dL] were 1.04 (0.91, 1.18), 1.11 (1.00, 1.22), and 1.31
50 (1.08, 1.58) for baseline Lp(a), and 0.94 (0.81, 1.10), 1.06 (0.94, 1.21), and 1.43 (1.15, 1.76)
51 for on-statin Lp(a). Hazard ratios were virtually identical after further adjustment for prior
52 CVD, diabetes, smoking, systolic blood pressure, low-density-lipoprotein cholesterol, and
53 high-density-lipoprotein cholesterol. The association of on-statin Lp(a) with CVD risk was
54 stronger than for on-placebo Lp(a) (interaction $P=0.010$) and was more pronounced at younger
55 ages (interaction $P=0.008$) without effect modification by any other patient-level or study-level
56 characteristics.

57 **Interpretation:** In this individual-patient meta-analysis of statin-treated patients, elevated
58 baseline and on-statin Lp(a) showed an independent, approximately linear relationship with
59 CVD risk. This study provides a rationale for testing the Lp(a) lowering hypothesis in CVD
60 outcomes trials.

61 **Funding:** Novartis Pharma AG provided support for the performance of the meta-analysis.

62

63

64 **Introduction**

65 Lipoprotein(a) [Lp(a)] is a lipoprotein composed of apolipoprotein(a) covalently bound to
66 apolipoprotein B (apoB) of a low-density lipoprotein (LDL) like particle.^{1,2} Lp(a) mediates
67 atherogenicity via its LDL moiety that has a similar proportion of cholesterol content as
68 traditional LDL particles. In addition, it induces pro-inflammatory responses^{3,4} via
69 accumulation of oxidised phospholipids⁵ and potentially exerts pro-thrombotic effects via the
70 plasminogen-like apolipoprotein(a) moiety.⁶ In contrast to other major lipoproteins, there is no
71 approved specific therapy to lower circulating plasma levels of Lp(a).

72 Epidemiologic⁷ and genetic^{8,9} evidence has accumulated over the last decade showing that
73 elevated Lp(a), driven primarily by the *LPA* gene,¹⁰ is associated with increased risk of
74 coronary heart disease, stroke, peripheral arterial disease, and calcific aortic valve stenosis.^{1,2,11}
75 These data have established Lp(a) as a cardiovascular disease (CVD) risk factor, but the bulk
76 of evidence is based on studies involving individuals without prior CVD and without intensive
77 secondary prevention therapies. In contrast, the role of elevated Lp(a) in patients with prior
78 CVD events or on statin therapy and other guideline-recommended therapies is less clear. Prior
79 studies in this patient population yielded inconsistent results, with findings ranging from
80 significant positive associations to null associations such as following acute coronary
81 syndromes (reviewed in reference²). In addition, several studies, including JUPITER¹² and
82 AIM-HIGH¹³, have shown that elevated Lp(a) remain predictive for CVD risk at LDL-
83 cholesterol (LDL-C) levels <70 mg/dL,¹ but other studies suggest a positive association only
84 when LDL-C is elevated.¹⁴ Furthermore, a major limitation of all post hoc studies reporting
85 Lp(a) levels and outcomes is that they involved only a small number of patients with Lp(a)
86 values above 50 mg/dL and therefore were uniformly underpowered to test the hypothesis that
87 elevated Lp(a) levels are associated with increased CVD risk in the setting of statin therapy or
88 prior history of CVD.

89 To test this hypothesis with adequate statistical power, we established the Lipoprotein(a)
90 Studies Collaboration, a consortium of patient-level data from placebo-controlled trials of
91 statins with patient-level data on CVD outcomes and Lp(a) measurements at baseline and
92 follow-up (i.e. under statin treatment). We now report the results of this analysis in
93 documenting the associations of baseline and on-treatment Lp(a) with cardiovascular risk.

94 **Methods**

95 **Trials included in the meta-analysis**

96 To be eligible in the meta-analysis, randomized placebo-controlled statin trials were required
97 to have assayed Lp(a) concentration at baseline and follow-up, have recorded incidence of
98 CVD outcomes using well-defined criteria, and be willing to share patient data at the
99 individual-level. We included data from AFCAPS, CARDS, 4D, JUPITER, LIPID, MIRACL,
100 and 4S. Their study design, target population, and entry criteria are summarised in **Table 1**;
101 more detailed descriptions of trial designs¹⁵⁻²¹ and Lp(a) methodology and data^{12,22-26} were
102 previously reported by each trial. Trials not included in the meta-analysis were either not
103 allowed or willing to provide individual-level patient data. Due to contractual agreements on
104 sharing individual patient data, other eligible trials could not be included in the meta-analysis.
105 All contributing trials have obtained ethics approval and patients' informed consent.

106 **Statistical analyses**

107 Analyses were conducted according to a pre-specified analysis plan, developed prior to any
108 combined analyses. Lp(a) values were log_e-transformed. Of 45044 patients enrolled in the
109 seven trials, 15975 (35.5%) patients were excluded because of missing Lp(a) measurements at
110 both baseline and follow-up, leaving 29069 patients for analysis (for CONSORT diagram,
111 please refer to **Supplementary Figure 1**). There were minimal differences in baseline
112 characteristics of patients with or without available Lp(a) measurements (**Supplementary**
113 **Table 1**). In all trials except 4S, on-statin Lp(a) during follow-up was measured at one time-
114 point. In the 4S trial, on-statin Lp(a) was estimated as the geometric mean of Lp(a) values
115 assessed at up to four distinct time points. Lp(a) values provided in nmol/L were divided by
116 2.4 (JUPITER), as previously described²⁷, and those provided in IU/L by 19.07 (4S) to convert
117 them to the common unit of mg/dL. When information on Lp(a) was missing either at baseline
118 (0.5%) or at follow-up (5.5%), their Lp(a) value was mean-imputed from study-specific mixed-
119 effects models which predicted Lp(a) values using fixed effects for assigned treatment, time-
120 in-study, and the interaction of the two variables, plus a random intercept allowed to vary at
121 the patient level.

122 Because conventional “LDL-C” assays capture cholesterol both in LDL and Lp(a) particles,
123 LDL-C values were corrected for the latter. Lp(a) mass in mg/dL is composed of ~30-45%
124 cholesterol.²⁸ We used a conservative measurement of the content of Lp(a)-C by multiplying
125 Lp(a) mass (in mg/dL) by 0.30 to derive Lp(a)-cholesterol, and then subtracting this value from
126 the measured LDL-C to obtain corrected LDL-C (LDL-C_{corr}).²⁸

127 The combined CVD endpoint was defined as the occurrence of fatal or non-fatal coronary heart
128 disease, stroke, or any coronary or carotid revascularisation procedures. In analysing on-
129 treatment Lp(a), all CVD events that occurred after randomisation were considered because
130 any change in Lp(a) under statin therapy is anticipated to occur within a short time period
131 (sensitivity analyses omitted the initial period of follow-up).¹²

132 Associations of Lp(a) with CVD risk were estimated using a two-step approach, with estimates
133 calculated within each study separately before pooling them across studies using multivariate
134 random-effects meta-analysis.²⁹ Hazard ratios were calculated using Cox proportional hazard
135 regression models which used time-on-study as a timescale, were stratified by trial arm, and
136 compared the pre-specified Lp(a) groups <15 mg/dL, 15-<30 mg/dL, 30-<50 mg/dL, and ≥50
137 mg/dL. The assumption for the proportionality of hazards was tested using Schoenfeld
138 residuals and was met. The analysis had four inter-related principal aims. First, to evaluate
139 shapes of associations, pooled hazard ratios were calculated over Lp(a) groups and plotted
140 against the pooled geometric mean of Lp(a) concentration within each category.²⁹ Second, to
141 determine the extent of confounding, hazard ratios were progressively adjusted for age, sex,
142 prior CVD, diabetes, smoking, systolic blood pressure, LDL-C_{corr}, and high-density-
143 lipoprotein-cholesterol (“multivariable adjusted model”). Further adjustment for body-mass
144 index and estimated glomerular filtration rate was employed in the subset of patients, in which
145 these data were available. Third, to investigate whether the predictive value of follow-up Lp(a)
146 differed between patients randomized to statin vs. placebo, interaction models by trial arm were
147 fitted. Fourth, to investigate effect modification by individual-patient and study-level
148 characteristics, formal tests of interaction and meta-regression analyses with these variables
149 were performed. There was little variability within each trial of the proportion of patients with
150 prior CVD and with a history of diabetes at baseline (e.g. secondary vs. primary CVD
151 prevention trials, diabetes as inclusion or exclusion criterion) and hence effect modification by
152 these characteristics was investigated at the study-level instead of at the patient-level. Between-
153 trial heterogeneity was assessed with the I^2 statistic.³⁰ Analyses were performed using Stata

154 (version 14.1 MP) and involved two-sided statistical tests and 95% confidence intervals.
155 Principal analyses used a significance level of $P < 0.05$ and subgroup analyses a Bonferroni-
156 corrected significance level of $P < 0.007$ (for seven subgroups).

157 **Role of funding source**

158 The funders of the study had no role in study design, data collection, data analysis, data
159 interpretation, or writing of the report. PW and ST had full access to all the data in the study
160 and had final responsibility for the decision to submit for publication.

161 **Results**

162 **Summary of available data**

163 Data on 29069 patients from seven contributing trials were analysed (**Table 2**). At trial entry,
164 mean age was 62 years (SD 8), 8064 were female (28%), 15252 had prior CVD (52%), 5177
165 had diabetes (18%), 4847 were current smokers (17%), mean systolic blood pressure was 137
166 mmHg (SD 18), and mean LDL-C_{corr} was 3.30 mmol/L (SD 0.67). Median concentration of
167 Lp(a) at baseline was in low normal range of 11 mg/dL (interquartile range: 5-29). In cross-
168 sectional analyses, baseline Lp(a) concentration was higher in females (+12% [3, 21]), lower
169 in patients with diabetes (-17% [-24, -9]) and unrelated to smoking (+2% [-3, 8]). Furthermore,
170 LDL-C_{corr}, log_e triglycerides, body-mass index, and systolic blood pressure were associated
171 with a lower and HDL-C with a higher Lp(a) concentration (age- and sex-adjusted differences
172 in Lp(a) per SD: -16% [-23, -8], -12% [-15, -9], -7% [-10, -5], -2% [-5, -0], and +7% [3, 11]).
173 Baseline Lp(a) was not associated with age (-1% [-2, 1] per SD).

174 A total of 14536 patients were randomized to receive statin therapy (**Table 2**). Initiation of
175 statin therapy reduced LDL-C_{corr} by -39% (95% confidence interval: -43, -35). The effect of
176 statin on Lp(a) concentration was heterogeneous across trials; the pooled percentage change
177 was -0.4% (-7, 7), with three trials showing a mean increase (range +2 to +15%) and four trials
178 showing a mean decrease (range -1 to -13%) in Lp(a). The median concentration of Lp(a) on
179 statin therapy was 11 mg/dL (interquartile range: 5-32). The age- and sex-adjusted correlation
180 between baseline and follow-up log_e Lp(a) was comparable in the statin arm and the placebo
181 arm ($r=0.948$ vs. 0.952).

182 **Associations of baseline and on-statin Lp(a) with cardiovascular disease risk**

183 During 95576 person-years at risk (median follow-up 3.0 years [interquartile range: 1.5-5.3]),
184 a total of 5751 CVD events were recorded, of which 2603 occurred in the statin arm (**Table 2**).
185 When patients were grouped by Lp(a) concentration into the categories <15 mg/dL, 15-<30
186 mg/dL, 30-<50 mg/dL, and ≥ 50 mg/dL, incidence rates for CVD (95% CI) per 1000 person-
187 years were as follows: 55.3 (53.4-57.3), 56.3 (52.6-60.2), 66.7 (62.0-71.8), and 80.0 (75.3-
188 84.9) for baseline Lp(a), and 49.0 (46.5-51.6), 46.4 (41.6-51.7), 56.2 (50.3-62.8), and 77.2
189 (71.1-83.8) for on-statin Lp(a).

190 In analyses adjusted for age and sex only, associations of baseline and on-statin Lp(a) values
191 with the risk of CVD were of positive approximately linear shape, with a possible threshold
192 effect in the group with Lp(a) values of 50 mg/dL or more (**Figure 1**). For baseline Lp(a), the
193 hazard ratios compared to patients with Lp(a) values of <15 mg/dL were 1.04 (0.91, 1.18) with
194 Lp(a) values 15-<30 mg/dL, 1.11 (1.00, 1.22) with Lp(a) values 30-<50 mg/dL, and 1.31
195 (1.08, 1.58) with Lp(a) values ≥ 50 mg/dL (**Table 3**). For on-statin Lp(a), corresponding hazard
196 ratios were 0.94 (0.81, 1.10), 1.06 (0.94, 1.21), and 1.43 (1.15, 1.76).

197 Associations remained robust to additional adjustment for prior CVD, diabetes, smoking,
198 systolic blood pressure, LDL-C_{corr}, and HDL-C concentration (**Figure 1** and **Table 3**).
199 Corresponding hazard ratios were 1.04 (0.91, 1.20), 1.13 (1.02, 1.25), and 1.35 (1.11, 1.66)
200 for baseline Lp(a) and 0.95 (0.82, 1.11), 1.08 (0.95, 1.23), and 1.42 (1.16, 1.74) for on-statin
201 Lp(a). In a sensitivity analysis of patients with information on triglycerides, body-mass index,
202 or estimated glomerular filtration rate, further adjustment for these parameters did not
203 materially change the magnitude of association between Lp(a) measurements and CVD risk
204 (**Supplementary Table 2**). Effect sizes comparable with those in the principal analysis were
205 observed when further categorising the highest Lp(a) group into patients with levels 50-<75
206 mg/dL and ≥75 mg/dL (**Supplementary Table 3**) and in the on-statin analysis when omitting
207 events that occurred in the initial period between randomization and on-statin measurement of
208 Lp(a) (**Supplementary Table 4**). Trial-specific findings are provided in **Supplementary**
209 **Table 5**.

210 **Comparative predictive value of on-statin vs. on-placebo Lp(a)**

211 Lp(a) concentration measured during follow-up was more strongly associated with CVD risk
212 in the on-statin arm than in the on-placebo arm (**Figure 2**). In comparison of patients with Lp(a)
213 ≥50 mg/dL with those having Lp(a) <50 mg/dL, the age- and sex-adjusted hazard ratios for
214 CVD were 1.48 (1.23 to 1.78) for on-statin Lp(a) and 1.23 (1.04 to 1.45) for on-placebo Lp(a)
215 (interaction P=0.010). The corresponding multivariable adjusted hazard ratios were 1.47 (1.25
216 to 1.73) and 1.26 (1.06 to 1.50) (interaction P=0.031). The median time from randomization
217 to Lp(a) repeat was 1.0 years in both trial arms.

218 **Associations according to patient-level and study-level characteristics**

219 There was some heterogeneity between trials in hazard ratios for CVD, most pronounced in
220 the group with a Lp(a) concentrations ≥50 mg/dL. For example, in this group, *I*² values of age-
221 and sex-adjusted hazard ratios were 73% (43, 88) for baseline Lp(a) and 62% (13, 83) for on-
222 statin Lp(a) (**Table 3**). Apart from stronger associations of on-statin Lp(a) with CVD risk at
223 younger age (<60 years vs. 60-<70 years vs. ≥70 years; interaction P=0.008), hazard ratios did
224 not vary significantly across clinically relevant subgroups, such as by sex, smoking, systolic
225 blood pressure, lipid parameters, or body-mass index (**Figure 3**). Furthermore, the magnitude
226 of association was independent of a study's proportion of patients with prior CVD or diabetes,
227 the length of follow-up for clinical events, and the time between study baseline and follow-up
228 on-statin Lp(a) measurement (**Supplementary Figure 2**). Contributing trials employed
229 differing statin interventions, precluding a subgroup analysis by statin type or statin dosage.

230 **Discussion**

231 This well-powered meta-analysis of Lp(a) and CVD events reveals that patients with elevated
232 Lp(a) on statin therapy, primarily with levels of >50 mg/dL, are at a significantly higher risk
233 of CVD. The association with CVD risk was independent of conventional CVD risk factors, as
234 also reflected in the very weak or null cross-sectional correlations of Lp(a) with these risk
235 factors. Importantly, hazard ratios for high Lp(a) at baseline and under statin therapy were of
236 similar magnitude, reflecting that statin therapy may not appreciably affect Lp(a)-mediated risk
237 in patients with elevated Lp(a). Overall, these data suggest that patients with elevated Lp(a),
238 representing ~25% of subjects with prior CVD or statin indication,¹ are at substantial residual
239 risk even under statin therapy. In this patient population, therapies which specifically lower
240 Lp(a) might mitigate Lp(a)-mediated risk. An appropriately designed CVD outcomes trial with

241 robust Lp(a)-lowering is therefore justified to test the hypothesis that lowering Lp(a) reduces
242 CVD events, independent of statin treatment.

243 At baseline, Lp(a) levels were weakly associated with demographic and laboratory variables.
244 The most significant but nevertheless weak correlations were inverse with diabetes mellitus
245 and triglycerides. The observation of an inverse association of Lp(a) with incident diabetes has
246 been made previously,³¹ and is most pronounced at very low levels of Lp(a) (≤ 5 mg/dL), which
247 are present in the 10th percentile of the global population.^{1,2} It has not been determined if the
248 findings are causal or if there is confounding by reverse causality.³² Although the underlying
249 mechanisms are not well understood, fasting and post-prandial insulin levels are inversely
250 associated with Lp(a).³³ Lp(a) was weakly correlated with LDL-C, but this relationship became
251 inversely associated after subtracting the estimated cholesterol content in Lp(a) from the
252 laboratory measurement called “LDL-C”.²⁸

253 Prior studies evaluating the role of Lp(a) in predicting CVD in patients without CVD, using
254 Lp(a) assays in the modern era that lack limitations of prior assays, have been almost uniformly
255 positive.⁷ However, studies in patients with prior CVD or on statin therapy have been mixed,
256 or have suggested the effect is present primarily in patients with elevated LDL-C (reviewed in
257 Tsimikas et al.²). A major limitation of all substudies reporting Lp(a) and outcomes has been
258 power. All studies have enrolled patients with Lp(a) levels in the mid to low normal range (10-
259 15 mg/dL, normal <30 mg/dL), as confirmed in the current meta-analysis, thus statistical power
260 to evaluate risk in patients with highly elevated Lp(a) (i.e. >50 mg/dL) was limited. The current
261 study is highly powered with 5751 total events and 2603 events in the statin arms, making it
262 equivalent to, or larger than, most individual randomised controlled cardiovascular outcome
263 trials in the modern era. In contrast to a previous analysis of individual-patient data by
264 O’Donoghue et al,³⁴ our study afforded higher statistical power because it involved >10 times
265 more CVD events, and hence was able to characterise associations with high Lp(a)
266 concentrations more precisely. Moreover, the present analysis used clinically-relevant Lp(a)
267 categories informed by guideline recommendations, as opposed to trial-specific quintiles.

268 The current meta-analysis is also highly representative of clinical care in patients treated with
269 statins. First, these studies represent patients who were treated with moderate-high doses of the
270 five major statins used clinically. Second, they reflect the variety of patients treated clinically,
271 including primary prevention, high-risk primary prevention with elevated C-reactive protein or
272 diabetes, secondary prevention, stable coronary artery disease, acute coronary syndromes,
273 patients on dialysis and highly elevated LDL-C in the familial hypercholesterolemia range.
274 Therefore, they broadly reflect patients with high residual risk despite statin treatment,
275 potentially due to other, unmodified risk factors such as elevated Lp(a).

276 The risk thresholds chosen reflect clinical risk as suggested by epidemiologic and genetic
277 studies. The reference cutoff of <15 mg/dL, reflects roughly the median global level of
278 Lp(a).^{35,36} Lp(a) <30 mg/dL represents the usual cutoff in US laboratories that is considered as
279 normal level, and is based on data showing that risk of myocardial infarction starts to accrue at
280 levels above 25-30 mg/dL.^{7,37} The range of 30-50 mg/dL was chosen as this is the grey zone
281 between what is considered pathophysiologically relevant and >50 mg/dL is based on what the
282 European Atherosclerosis Society as considered elevated levels at highest risk based on the
283 European population prevalence of 20%.

284 In this study, elevation of CVD risk became evident at baseline Lp(a) 30 to <50 mg/dL and
285 was further pronounced when Lp(a) levels exceeded 50 mg/dL, including patients treated with
286 statins. The hazard ratios for Lp(a) ≥ 50 mg/dL are consistent with recent PCSK9 inhibitor

287 studies in patients with background statin therapy.³⁸ Additional analyses at even higher Lp(a),
288 i.e. ≥ 75 mg/dL were limited by low power due to small numbers of patients with Lp(a) levels
289 in this range, but support a graded relationship of Lp(a) with cardiovascular risk. Outcome
290 trials of Lp(a) lowering are likely to include patients with mean baseline Lp(a) substantially
291 > 50 mg/dL, therefore, extrapolation to event reduction with Lp(a) lowering from these data
292 may be an underestimate.

293 A key observation of this study is that on-statin Lp(a) was more strongly associated with CVD
294 risk than on-placebo Lp(a). A small angiographic study initially suggested that the risk of Lp(a)
295 is attenuated when LDL-C is well controlled.³⁹ In contrast, the current study, utilising a far
296 larger body of data, supports the opposite conclusion that risk is independently associated with
297 both LDL-C and Lp(a). When LDL-attributable risk is reduced with statin treatment, Lp(a)-
298 associated risk becomes an even stronger predictor of residual risk. This observation is
299 particularly evident at Lp(a) levels exceeding 50 mg/dL. In support of our observation in this
300 study, the trials FOURIER (European Atherosclerosis Society, May 2018) and ODYSSEY
301 OUTCOMES (International Atherosclerosis Society, June 2018) have recently presented
302 preliminary findings of their data, both showing that elevated baseline Lp(a) remains a risk
303 factor even with on-treatment LDL-C < 50 mg/dL in patients treated with statins and PCSK9
304 inhibitors. The findings raise the importance of determining whether there is a cardiovascular
305 benefit of treatment to reduce Lp(a) when initial levels exceed this threshold, irrespective of
306 concurrent treatment with statin. A second important observation is that all major subgroups
307 of patients seemed to be at risk of elevated Lp(a), including those > 70 years old, females,
308 smokers, those with low and high LDL-C_{corr}, low HDL-C and all categories of body-mass
309 index.

310 It is important to emphasize that the Lp(a) hypothesis remains to be tested. To do so requires a
311 randomized trial that compares cardiovascular outcomes in patients treated with an agent that
312 specifically lowers Lp(a) versus placebo. Such a trial may be possible with antisense
313 oligonucleotide targeting *LPA* messenger RNA, thereby reducing plasma Lp(a) levels. Phase I
314 and II trials with this agent have shown the potential to lower Lp(a) levels by over 90% without
315 major effects on other classes of lipoproteins.^{27,40}

316 One limitation of this study is that individual-patient data could not be obtained from several
317 other statin trials that reported Lp(a) levels and outcomes. It is possible that inclusion of other
318 data would have modified the observed effect sizes. Secondly, the relationship of Lp(a) to
319 residual cardiovascular risk under treatment with non-statin lipid-modifying agents (e.g.,
320 ezetimibe, PCSK9 inhibitors) remains undetermined. Third, the Lp(a) assays were
321 heterogeneous and most were in Lp(a) mass rather than in Lp(a) molar concentration and the
322 timepoints at which they were measured in each trial were not uniform. Therefore, the assays
323 not reported in mg/dL had to be mathematically converted to mg/dL, which may have
324 introduced imprecision into the Lp(a) measurement. A recent NHLBI Working Group on Lp(a)
325 recommended global standardization of Lp(a) assays to address this limitation.² Fourth, we
326 cannot rule out that index event bias may have attenuated effect sizes in secondary prevention
327 trials, although the scope of this bias was reduced by employment of multivariable adjustment.
328 Fifth, our analysis identified moderate to high between-study heterogeneity, which could not
329 be explained by baseline disease status (i.e. prior CVD or prior diabetes) nor by differing
330 lengths of follow-up periods. Finally, the data for the change in Lp(a) post statin therapy was
331 heterogeneous across studies, with both increases and decreases, but no net change. Due to
332 different assays used in each of the trials, and the need for conversion of all data to mg/dL, and
333 the higher precision required to show intra-individual changes, these data should be considered

334 hypothesis generating. A more robust test of this particular hypothesis should ideally be
335 performed using the same assay.

336 In conclusion, this meta-analysis demonstrates an approximately linear relationship of
337 cardiovascular risk to levels of Lp(a), evident at Lp(a) levels 30-50 mg/dL, pronounced at
338 levels ≥ 50 mg/dL, and persisting despite statin treatment. These data provide a rationale for
339 evaluating drugs that can specifically lower Lp(a) and might have the potential to reduce
340 residual cardiovascular risk independent of statin treatment.

341 **Contributors**

342 PW and ST wrote the analysis plan, collected and harmonized the data, and wrote the first draft
343 of the manuscript. PW and ST had access to all the raw data and PW performed the statistical
344 analysis. PMR, PJN, JS, AMT, TRP, GGS, AGO, HMC, FK, CD, CW, and SM have collected
345 patient data in statin trials and provided cleaned data to the coordinating centre. All authors
346 provided contributed to writing the final report and approved the version to be submitted to the
347 journal.

348 **Declaration of interests**

349 PW reports consultancy fees from Novartis Pharmaceuticals during the conduct of the study,
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372 HL136275 and R35 HL135737, currently has a dual appointment at the University of
373 California San Diego and Ionis Pharmaceuticals and is a co-inventor and receive royalties from
374 patents owned by the University of California San Diego on oxidation-specific antibodies and
375 is a co-Founder of Oxitope, Inc. The other authors have nothing to disclose.

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- 490

491 **Research in context**

492 **Evidence before this study:** Lp(a) has been associated with increased risk of incident
493 cardiovascular disease in primary care populations, but its role in predicting cardiovascular
494 events in high-risk patients treated with statins is unclear. We searched PubMed for relevant
495 clinical trials published up to July 9, 2018, using the search terms "Lipoprotein(a)" or "Lp(a)",
496 plus "statin" and "cardiovascular diseases"[MeSH]. Our review identified seven statin trials
497 (4D, 4S, FLARE, JUPITER, LIPID, MIRACL, and TNT), which reported on the association
498 of Lp(a) with cardiovascular risk. The interpretation of the available evidence is complicated
499 by inconsistent findings across trials (positive vs. null associations), limited statistical power
500 of single trials, limited availability of follow-up Lp(a) measurements, and differing definitions
501 of Lp(a) categories across trials.

502 **Added value of this study:** We obtained patient-level data in seven placebo-controlled statin
503 trials encompassing 29069 patients and analysed the relationship of baseline and on-treatment
504 Lp(a) to risk of major adverse cardiovascular events. Elevated Lp(a) of 50 mg/dL or higher, at
505 baseline or on-treatment, was associated with an increased hazard ratio of cardiovascular events
506 independent of other cardiovascular risk factors and evident on treatment with either statin or
507 placebo.

508 **Implications of all the available evidence:** These data suggest that residual risk is present in
509 patients with elevated Lp(a) that is not addressed by statins and supports the rationale for
510 outcomes trials to test specific therapies to lower Lp(a).

511

512 **Tables**

513

514 **Table 1 – Design features of contributing trials.**

Cohort	Years of baseline	Target population	Lipid entry criteria, mmol/L	Comparator to placebo	CVD outcome definition				
					MI	Stable angina	Stroke	Revascularisation	Other
AFCAPS ¹⁵	1990-1993	Primary prevention	TC 4.65-6.82, LDL-C 3.36-4.91, TG ≤4.52, HDL-C ≤1.16♂ and ≤1.22♀	Lovastatin 20mg	●	●	●	●	●*
CARDS ²²	1997-2001	Type 2 diabetes	LDL-C ≤4.14, TG ≤6.78	Atorvastatin 10mg	●	○	●	●	○
4D ²³	1998-2002	Type 2 diabetes + hemodialysis	LDL-C 2.07-4.92, TG ≤11.3	Atorvastatin 20mg	●	○	●	●	○
JUPITER ¹²	2003-2006	Primary prevention with C-reactive protein >2mg/dL	LDL-C <3.4, TG <5.65	Rosuvastatin 20mg	●	○	●	●	●†
LIPID ²⁴	1990-1992	Prior myocardial infarction or unstable angina	TC 4.0-7.0, TG <5.0	Pravastatin 40mg	●	○	●	●	○
MIRACL ²⁵	1997-1999	Acute coronary syndrome	TC <7.0	Atorvastatin 80mg	●	○	●	●	○
4S ²⁶	1989-1990	Prior myocardial infarction or angina	TC 5.5-8.0, TG ≤2.5	Simvastatin 20mg	●	○	○	●	○

515 AFCAPS=Air Force/Texas Coronary Atherosclerosis Prevention Study. CARDS=Collaborative Atorvastatin Diabetes Study.
516 CVD=cardiovascular disease. 4D=Die Deutsche Diabetes-Dialyse-Studie. HDL-C=high-density lipoprotein cholesterol.
517 JUPITER=Justification for the Use of Statins in Prevention: An Intervention Trial Evaluating Rosuvastatin. LDL-C=low-
518 density lipoprotein cholesterol. LIPID=Long-Term Intervention with Pravastatin in Ischaemic Disease. MI=myocardial
519 infarction. MIRACL=Myocardial Ischemia Reduction with Aggressive Cholesterol Lowering. 4S=Scandinavian Simvastatin
520 Survival Study. TC=total cholesterol. TG=triglycerides. *Transient ischemic attack, peripheral vascular disease, sudden death,
521 and deaths from other cardiovascular causes. †Deaths from other cardiovascular causes.

522

523 **Table 2 – Patient characteristics.**

	AFCAPS	CARDS	4D	JUPITER	LIPID	MIRACL	4S	Total
Baseline								
No. of patients	1005	2470	1249	9612	7863	2431	4439	29069
Lp(a), mg/dL, median (IQR)	7 (3-17)	9 (5-22)	12 (5-42)	11 (5-23)	14 (7-44)	10 (5-29)	10 (4-28)	11 (5-29)
<15 mg/dL	733 (73)	1658 (67)	709 (57)	5896 (61)	4118 (52)	1481 (61)	2654 (60)	17249 (59)
15-<30 mg/dL	134 (13)	310 (13)	129 (10)	1867 (19)	1147 (15)	362 (15)	781 (18)	4730 (16)
30-<50 mg/dL	84 (8)	212 (9)	140 (11)	851 (9)	877 (11)	223 (9)	714 (16)	3101 (11)
≥50 mg/dL	54 (5)	290 (12)	271 (22)	998 (10)	1721 (22)	365 (15)	290 (7)	3989 (14)
Age, yrs	59 (7)	62 (8)	66 (8)	66 (8)	61 (8)	65 (11)	59 (7)	62 (8)
Female sex	173 (17)	779 (32)	576 (46)	3556 (37)	1333 (17)	820 (34)	827 (19)	8064 (28)
Prior CVD	0 (0)	6 (0)	513 (41)	0 (0)	7863 (100)	2431 (100)	4439 (100)	15252 (52)
Diabetes	32 (3)	2470 (100)	1249 (100)	0 (0)	676 (9)	548 (23)	202 (5)	5177 (18)
Current smoking	130 (13)	551 (22)	108 (9)	1492 (16)	735 (9)	693 (29)	1138 (26)	4847 (17)
SBP, mmHg	136 (17)	144 (16)	146 (22)	136 (17)	134 (19)	128 (20)	139 (20)	137 (18)
LDL-C _{corr} , mmol/L	–	2.75 (0.78)	3.00 (0.86)	2.57 (0.49)	3.68 (0.74)	3.04 (0.86)	4.74 (0.66)	3.30 (0.67)
HDL-C, mmol/L	–	1.64 (0.50)	0.94 (0.34)	1.35 (0.40)	0.96 (0.24)	1.20 (0.31)	1.19 (0.30)	1.21 (0.35)
BMI, kg/m ²	26 (3)	29 (4)	28 (5)	29 (6)	–	28 (5)	26 (3)	28 (5)
eGFR, mL/min	–	–	–	75 (17)	71 (17)	–	–	73 (17)
Apo-B, g/L	–	1.16 (0.24)	1.10 (0.30)	1.08 (0.21)	1.33 (0.25)	–	1.16 (0.18)	1.17 (0.23)
On-statin								
No. of patients	504	1255	616	4802	3941	1200	2218	14536
Time to Lp(a) repeat, yrs, median	1.0	2.5	0.5	1.0	1.0	0.2	2.5	1.0
Lp(a), mg/dL, median (IQR)	7 (3-19)	8 (4-22)	11 (5-40)	11 (4-25)	13 (6-43)	11 (5-33)	11 (4-33)	11 (5-32)
<15 mg/dL	366 (73)	864 (69)	351 (57)	2912 (61)	2106 (53)	707 (59)	1268 (57)	8574 (59)
15-<30 mg/dL	59 (12)	134 (11)	60 (10)	868 (18)	548 (14)	175 (15)	321 (15)	2165 (15)
30-<50 mg/dL	43 (9)	103 (8)	73 (12)	417 (9)	439 (11)	96 (8)	375 (17)	1546 (11)
≥50 mg/dL	36 (7)	154 (12)	132 (21)	605 (13)	848 (22)	222 (19)	254 (12)	2251 (15)
% change vs. baseline (95% CI)	-1% (-6, 4)	-13% (-15, -10)	-6% (-9, -3)	2% (1, 3)	-7% (-8, -5)	9% (6, 12)	15% (13, 17)	-0.4% (-7, 7)
LDL-C _{corr} , mmol/L	–	1.68 (0.58)	1.73 (0.78)	1.43 (0.70)	2.57 (0.71)	1.56 (0.77)	2.97 (0.70)	1.99 (0.70)
% change vs. baseline (95% CI)	–	-37% (-38, -36)	-41% (-43, -39)	-43% (-44, -42)	-29% (-30, -29)	-47% (-49, -46)	-37% (-37, -36)	-39% (-43, -35)
CVD incidence								
Follow-up, yrs, median (IQR)	5.6 (4.8-6.2)	4.1 (3.1-4.8)	2.4 (1.4-3.7)	2.0 (1.5-2.4)	5.4 (3.1-6.0)	0.3 (0.3-0.3)	5.3 (3.9-5.5)	3.0 (1.5-5.3)
No. of events, overall	68	170	338	234	3040	537	1364	5751
No. of events, statin arm	31	71	166	81	1428	258	568	2603

524 Mean (SD) or n (%), unless stated otherwise. Percentages may not sum up to 100% due to rounding. For full trial names, refer to footnote of Table 1. Total means (standard
525 deviations) and % changes (95% confidence intervals) were calculated by pooling study-specific estimates with random-effects meta-analysis. Apo-B=apolipoprotein B.
526 BMI=body-mass index. CVD=cardiovascular disease. eGFR=estimated glomerular filtration rate. HDL-C=high-density lipoprotein cholesterol. IQR=interquartile-range. LDL-
527 C_{corr}=low-density lipoprotein cholesterol corrected for Lp(a)-cholesterol. SBP=systolic blood pressure.

Table 3 – Associations of baseline and on-statin Lp(a) with incident cardiovascular disease according to different levels of adjustment.

Lp(a) measurement / adjustment	Lp(a) 15-<30 mg/dL			Lp(a) 30-<50 mg/dL			Lp(a) ≥50 mg/dL		
	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)
Baseline Lp(a)									
<i>Basic adjustment: 7 trials – 29069 patients – 5751 events</i>									
Age- and sex-adjusted	1.04 (0.91, 1.18)	0.59	43% (0, 76)	1.11 (1.00, 1.22)	0.047	0% (0, 71)	1.31 (1.08, 1.58)	0.005	73% (43, 88)
<i>Progressive adjustment: 6 trials – 27764 patients – 5649 events</i>									
Age- and sex-adjusted	1.03 (0.90, 1.18)	0.64	54% (0, 81)	1.10 (1.00, 1.22)	0.053	0% (0, 75)	1.30 (1.06, 1.59)	0.010	78% (52, 90)
Plus prior CVD	1.04 (0.90, 1.19)	0.61	53% (0, 81)	1.10 (1.00, 1.22)	0.049	0% (0, 75)	1.31 (1.07, 1.60)	0.009	78% (52, 90)
Plus diabetes	1.04 (0.91, 1.19)	0.60	52% (0, 81)	1.11 (1.01, 1.23)	0.036	0% (0, 75)	1.32 (1.08, 1.61)	0.007	78% (51, 90)
Plus smoking	1.03 (0.91, 1.18)	0.61	50% (0, 80)	1.11 (1.01, 1.22)	0.034	0% (0, 75)	1.31 (1.08, 1.59)	0.007	77% (48, 90)
Plus SBP	1.03 (0.90, 1.18)	0.64	53% (0, 81)	1.11 (1.01, 1.22)	0.031	0% (0, 75)	1.31 (1.07, 1.59)	0.008	77% (49, 90)
Plus LDL-C _{corr}	1.04 (0.90, 1.19)	0.61	55% (0, 82)	1.12 (1.02, 1.24)	0.019	0% (0, 75)	1.34 (1.09, 1.65)	0.005	78% (53, 90)
Plus HDL-C	1.04 (0.91, 1.20)	0.54	54% (0, 82)	1.13 (1.02, 1.25)	0.016	0% (0, 75)	1.35 (1.11, 1.66)	0.003	77% (49, 90)
On-statin Lp(a)									
<i>Basic adjustment: 7 trials – 14536 patients – 2603 events</i>									
Age- and sex-adjusted	0.94 (0.81, 1.10)	0.45	18% (0, 62)	1.06 (0.94, 1.21)	0.33	0% (0, 71)	1.43 (1.15, 1.76)	0.001	62% (13, 83)
<i>Progressive adjustment: 6 trials – 13883 patients – 2561 events</i>									
Age- and sex-adjusted	0.93 (0.79, 1.09)	0.37	18% (0, 63)	1.06 (0.93, 1.21)	0.35	0% (0, 75)	1.39 (1.12, 1.72)	0.002	64% (13, 85)
Plus prior CVD	0.93 (0.79, 1.09)	0.37	18% (0, 63)	1.06 (0.93, 1.21)	0.36	0% (0, 75)	1.39 (1.12, 1.72)	0.002	64% (13, 85)
Plus diabetes	0.94 (0.80, 1.10)	0.43	17% (0, 62)	1.07 (0.94, 1.22)	0.31	0% (0, 75)	1.39 (1.13, 1.71)	0.002	62% (7, 84)
Plus smoking	0.94 (0.81, 1.09)	0.42	8% (0, 77)	1.07 (0.94, 1.22)	0.30	0% (0, 75)	1.39 (1.13, 1.71)	0.002	62% (8, 84)
Plus SBP	0.94 (0.81, 1.09)	0.41	9% (0, 77)	1.07 (0.94, 1.22)	0.30	0% (0, 75)	1.39 (1.13, 1.71)	0.002	61% (6, 84)
Plus LDL-C _{corr}	0.94 (0.81, 1.10)	0.47	13% (0, 78)	1.08 (0.95, 1.23)	0.26	0% (0, 75)	1.41 (1.15, 1.73)	0.001	61% (3, 84)
Plus HDL-C	0.95 (0.82, 1.11)	0.53	13% (0, 78)	1.08 (0.95, 1.23)	0.24	0% (0, 75)	1.42 (1.16, 1.74)	0.001	58% (0, 83)

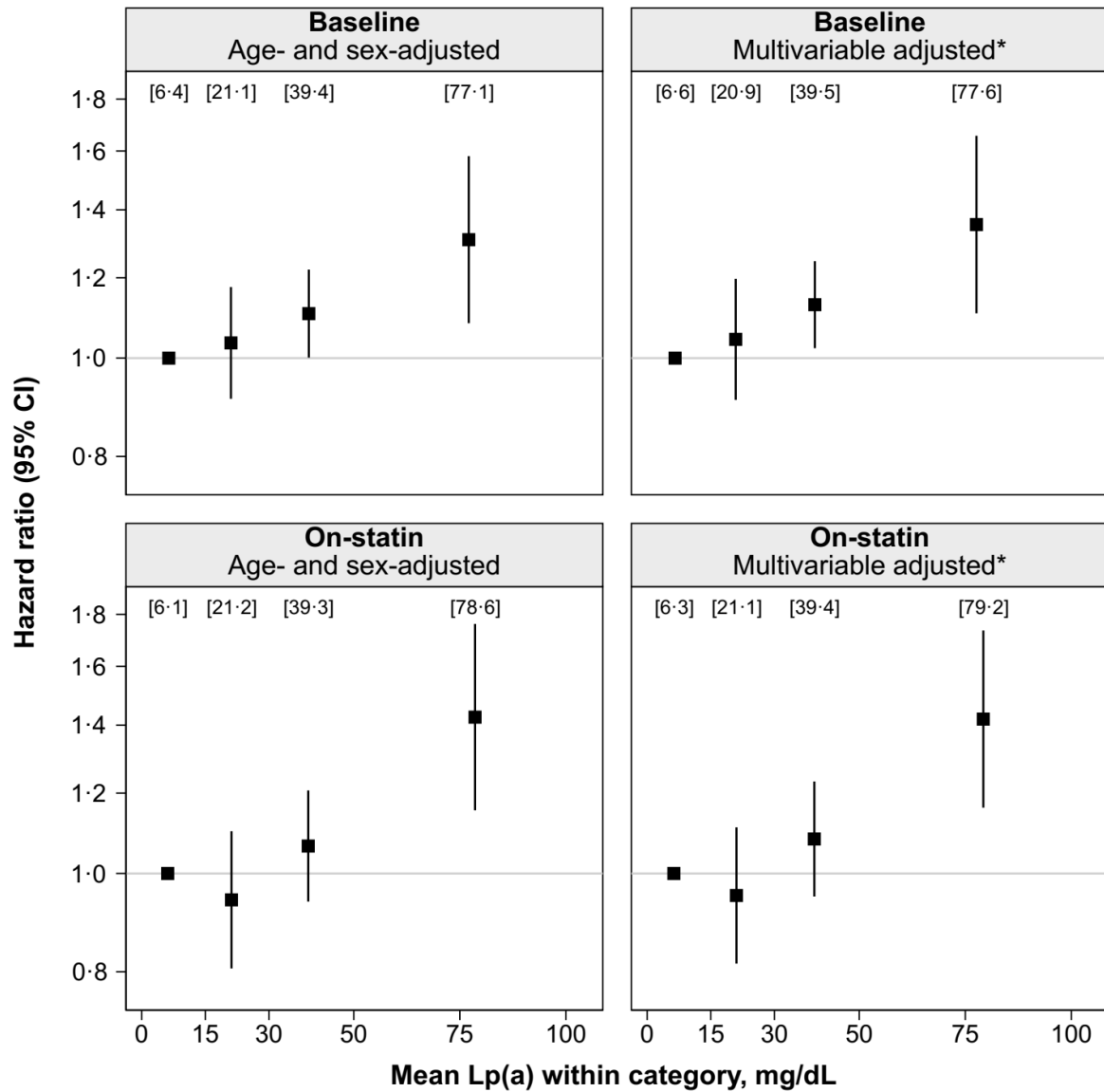
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CI=confidence interval. CVD=cardiovascular disease. HDL-C=high-density lipoprotein cholesterol. HR=hazard ratio. LDL-C_{corr}=low-density-lipoprotein cholesterol corrected for Lp(a)-cholesterol. SBP=systolic blood pressure. *The group of patients with Lp(a) values <15 mg/dl served as reference group.

531

532 **Figure 1 – Shapes of associations of baseline and on-statin Lp(a) with incident**
 533 **cardiovascular disease.**

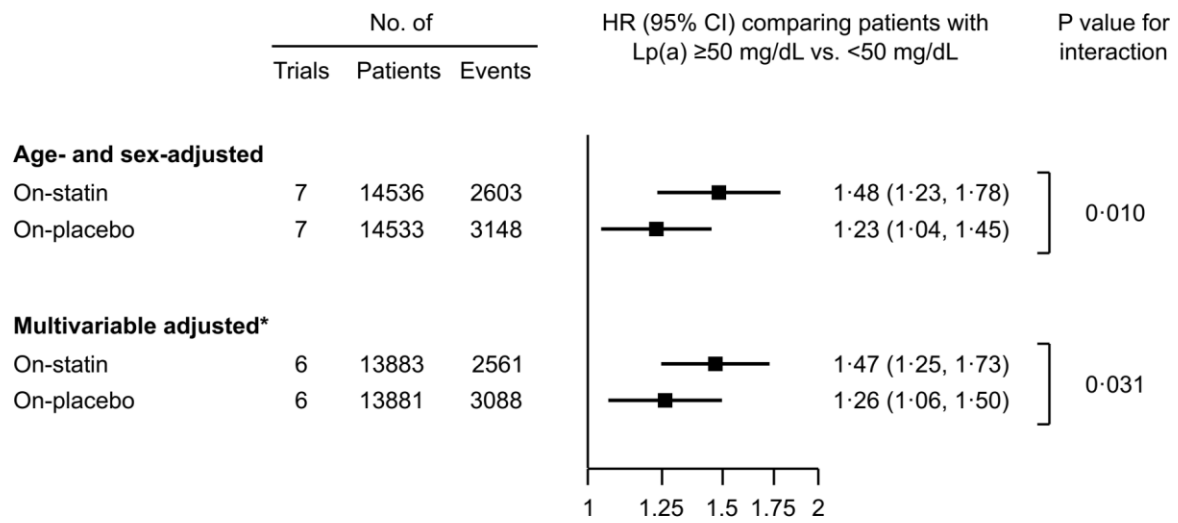


534

535 Categories of Lp(a) were defined as <15 mg/dL, 15-<30 mg/dL, 30-<50 mg/dL, and ≥50 mg/dL. Numbers in squared brackets
 536 are means of Lp(a) values within each category. The group with the lowest Lp(a) concentration served as reference. The
 537 analysis of baseline Lp(a) involved 29069 patients (5751 events) in the age- and sex-adjusted model and 27764 patients (5649
 538 events) in the multivariable adjusted model. Corresponding numbers for the on-statin analysis were 14536 patients (2603
 539 events) and 13883 patients (2561 events), respectively. *The multivariable model was adjusted for age, sex, prior
 540 cardiovascular disease, diabetes, smoking, systolic blood pressure, low-density-lipoprotein cholesterol corrected for Lp(a)-
 541 cholesterol, and high-density lipoprotein cholesterol.

542

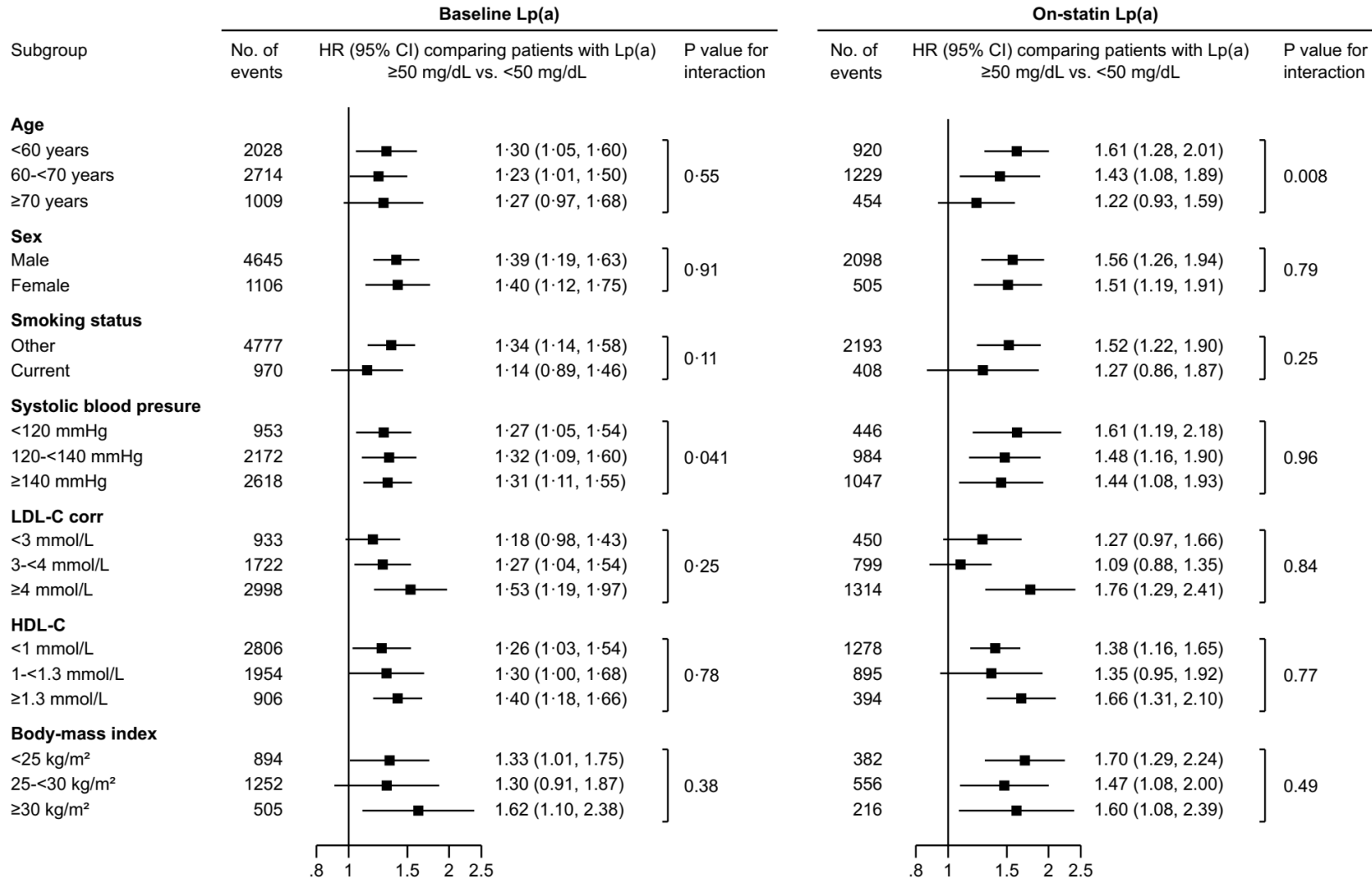
543 **Figure 2 – Comparative predictive value of on-statin vs. on-placebo Lp(a) for incident**
 544 **cardiovascular disease.**



545

546 *The multivariable model was adjusted for age, sex, prior cardiovascular disease, diabetes, smoking, systolic blood pressure,
 547 low-density-lipoprotein cholesterol corrected for Lp(a)-cholesterol, and high-density lipoprotein cholesterol.

548 **Figure 3 – Associations of baseline and on-statin Lp(a) with incident cardiovascular disease by individual patient characteristics.**



549

550 CI=confidence interval. HDL-C=high-density lipoprotein cholesterol. HR=hazard ratio. LDL-C_{corr}=low-density-lipoprotein cholesterol corrected for Lp(a)-cholesterol.

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Baseline and on-statin treatment lipoprotein(a) levels predict cardiovascular events: An individual-patient-data meta-analysis of statin outcome trials

Peter Willeit, Paul M. Ridker, Paul J. Nestel, John Simes, Andrew M. Tonkin, Terje R. Pedersen, Gregory G. Schwartz, Anders G. Olsson, Helen M. Colhoun, Florian Kronenberg, Christiane Drechsler, Christoph Wanner, Samia Mora, Anastasia Lesogor, Sotirios Tsimikas

Contents list of supplementary material

	Page
Supplementary Table 1 – Comparison of baseline characteristics of patients with or without Lp(a) measurements.	2
Supplementary Table 2 – Further adjustment of associations for triglycerides, body-mass index and estimated glomerular filtration rate.	3
Supplementary Table 3 – Subsidiary analysis further categorising the highest Lp(a) group into patients with levels 50-<75 mg/dL and ≥ 75 mg/dL.	4
Supplementary Table 4 – Sensitivity analysis omitting varying time periods of the initial follow-up.	5
Supplementary Table 5 – Trial-specific hazard ratios and covariance matrices.	6
Supplementary Figure 1 – CONSORT diagram.	7
Supplementary Figure 2 – Associations of baseline and on-statin Lp(a) with incident cardiovascular disease by study-level characteristics.	8

Supplementary Table 1 – Comparison of baseline characteristics of patients with or without Lp(a) measurements.

Trial	No. of patients	Statin arm, %	Female sex, %	Prior CVD, %	Diabetes, %	Smoking, %	Age, years, mean (SD)	SBP, mmHg, mean (SD)	LDL-C, mmol/L, mean (SD)	HDL-C, mmol/L, mean (SD)	BMI, kg/m ² , mean (SD)
AFCAPS											
Lp(a) available	1005	50%	17%	0%	3%	13%	59 (7)	136 (17)	–	–	26 (3)
Lp(a) unavailable	5600	50%	15%	0%	4%	12%	58 (7)	139 (17)	–	–	27 (3)
<i>Odds ratio or % difference</i>		1.01	1.21*	NA	0.84	1.06	+1.3%**	-2.3%***	–	–	-0.9%*
CARDS											
Lp(a) available	2470	51%	32%	0.2%	100%	22%	62 (8)	144 (16)	2.91 (0.78)	1.64 (0.50)	29 (4)
Lp(a) unavailable	368	47%	35%	0%	99%	22%	62 (9)	144 (17)	2.84 (0.83)	1.64 (0.49)	29 (4)
<i>Odds ratio or % difference</i>		1.16	0.84	NA	NA	1.03	-0.4%	-0.2%	+2.5%	0.0%	0.0%
4D											
Lp(a) available	1249	49%	46%	41%	100%	9%	66 (8)	146 (22)	3.25 (0.77)	0.94 (0.34)	28 (5)
Lp(a) unavailable	6	50%	33%	17%	100%	0%	69 (7)	139 (24)	3.18 (0.69)	0.80 (0.27)	28 (3)
<i>Odds ratio or % difference</i>		0.97	1.71	3.49	NA	NA	-4.1%	+4.7%	+2.4%	+16.9%	-2.5%
JUPITER											
Lp(a) available	9612	50%	37%	0%	0%	15%	66 (8)	136 (16)	2.72 (0.48)	1.35 (0.40)	29 (6)
Lp(a) unavailable	8190	50%	40%	0%	0%	16%	66 (8)	136 (17)	2.69 (0.49)	1.30 (0.39)	29 (6)
<i>Odds ratio or % difference</i>		1.00	0.89***	NA	NA	0.95	-0.8%***	+0.1%	+1.1%**	+3.8%***	0.0%
LIPID											
Lp(a) available	7863	50%	17%	100%	9%	9%	61 (8)	134 (19)	3.89 (0.75)	0.96 (0.24)	–
Lp(a) unavailable	1151	50%	16%	100%	9%	12%	60 (8)	133 (18)	3.83 (0.73)	0.95 (0.23)	–
<i>Odds ratio or % difference</i>		1.00	1.08	NA	0.93	0.78*	+2.0%***	+0.8%	+1.6%*	+1.1%	–
MIRACL											
Lp(a) available	2431	49%	34%	100%	23%	29%	65 (11)	128 (20)	3.21 (0.85)	1.20 (0.31)	28 (5)
Lp(a) unavailable	655	52%	39%	100%	26%	25%	67 (13)	128 (20)	3.17 (0.89)	1.20 (0.35)	27 (6)
<i>Odds ratio or % difference</i>		0.91	0.80*	NA	0.85	1.24*	-3.4%***	-0.1%	+1.4%	+0.5%	+2.0%*
4S											
Lp(a) available	4439	50%	19%	100%	5%	26%	59 (7)	139 (20)	4.88 (0.66)	1.19 (0.30)	26 (3)
Lp(a) unavailable	5	60%	0%	100%	0%	0%	61 (7)	137 (10)	5.10 (0.57)	1.25 (0.07)	28 (4)
<i>Odds ratio or % difference</i>		0.67	NA	NA	NA	NA	-4.5%	+1.3%	-4.3%	-5.2%	-8.6%

BMI=body-mass index. CVD=cardiovascular disease. HDL-C=high-density lipoprotein cholesterol. LDL-C=low-density lipoprotein cholesterol. SBP=systolic blood pressure. % differences compare the group with Lp(a) measurements with the group without Lp(a) measurements. *P≤0.05. **P≤0.01. ***P≤0.001.

Supplementary Table 2 – Further adjustment of associations for triglycerides, body-mass index and estimated glomerular filtration rate.

Lp(a) measurement / adjustment	Lp(a) 15-<30 mg/dL			Lp(a) 30-<50 mg/dL			Lp(a) ≥50 mg/dL		
	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)
Baseline Lp(a)									
<i>Further adjustment for log triglycerides: 6 trials – 27764 patients – 5649 events</i>									
Multivariable adjustment†	1.04 (0.91, 1.20)	0.54	54% (0, 82)	1.13 (1.02, 1.25)	0.016	0% (0, 75)	1.35 (1.11, 1.66)	0.003	77% (49, 90)
Plus log triglycerides	1.05 (0.92, 1.20)	0.50	53% (0, 81)	1.13 (1.03, 1.25)	0.013	0% (0, 75)	1.37 (1.12, 1.67)	0.002	77% (49, 90)
<i>Further adjustment for BMI: 5 trials – 19731 patients – 2557 events</i>									
Multivariable adjustment†	1.03 (0.84, 1.25)	0.81	55% (0, 84)	1.17 (1.03, 1.32)	0.012	0% (0, 79)	1.42 (1.11, 1.83)	0.006	71% (26, 89)
Plus BMI	1.02 (0.84, 1.25)	0.83	55% (0, 84)	1.17 (1.03, 1.32)	0.013	0% (0, 79)	1.42 (1.11, 1.83)	0.006	71% (26, 88)
<i>Further adjustment for eGFR: 2 trials – 17460 patients – 3273 events</i>									
Multivariable adjustment†	1.20 (0.79, 1.82)	0.40	NR	1.21 (0.91, 1.60)	0.200	NR	1.44 (0.92, 2.27)	0.111	NR
Plus eGFR	1.20 (0.78, 1.84)	0.42	NR	1.21 (0.89, 1.63)	0.219	NR	1.44 (0.91, 2.27)	0.118	NR
On-statin Lp(a)									
<i>Further adjustment for log triglycerides: 6 trials – 13883 patients – 2561 events</i>									
Multivariable adjustment†	0.95 (0.82, 1.11)	0.53	13% (0, 78)	1.08 (0.95, 1.23)	0.240	0% (0, 75)	1.42 (1.16, 1.74)	0.001	58% (0, 83)
Plus log triglycerides	0.96 (0.82, 1.12)	0.58	10% (0, 77)	1.08 (0.95, 1.24)	0.241	0% (0, 75)	1.44 (1.18, 1.75)	0.0004	57% (0, 83)
<i>Further adjustment for BMI: 5 trials – 9857 patients – 1115 events</i>									
Multivariable adjustment†	0.89 (0.69, 1.15)	0.38	29% (0, 72)	1.09 (0.91, 1.31)	0.355	0% (0, 79)	1.54 (1.24, 1.92)	0.0001	29% (0, 73)
Plus BMI	0.89 (0.69, 1.14)	0.36	25% (0, 70)	1.09 (0.91, 1.31)	0.341	0% (0, 79)	1.54 (1.23, 1.92)	0.0001	28% (0, 72)
<i>Further adjustment for eGFR: 2 trials – 8735 patients – 1508 events</i>									
Multivariable adjustment†	1.06 (0.73, 1.54)	0.76	NR	1.16 (0.84, 1.59)	0.367	NR	1.36 (0.98, 1.89)	0.067	NR
Plus eGFR	1.06 (0.73, 1.55)	0.76	NR	1.16 (0.83, 1.62)	0.377	NR	1.36 (0.98, 1.87)	0.064	NR

BMI=body-mass index. CI=confidence interval. eGFR=estimated glomerular filtration rate. HR=hazard ratio. NR=not reported since only two trial contributed to the specific analysis. *The group of patients with Lp(a) values <15 mg/dl served as reference group. †The multivariable model was adjusted for age, sex, prior cardiovascular disease, diabetes, smoking, systolic blood pressure, low-density-lipoprotein cholesterol corrected for Lp(a)-cholesterol, and high-density lipoprotein cholesterol.

Supplementary Table 3 – Subsidiary analysis further categorising the highest Lp(a) group into patients with levels 50-<75 mg/dL and ≥75 mg/dL.

Lp(a) measurement / adjustment	Lp(a) 15-<30 mg/dL			Lp(a) 30-<50 mg/dL			Lp(a) 50-<75 mg/dL			Lp(a) ≥75 mg/dL		
	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)	HR (95% CI)*	P value	I ² (95% CI)
Baseline Lp(a)												
<i>Basic adjustment: 7 trials – 29069 patients – 5751 events</i>												
Age- and sex-adjusted	1.04 (0.91, 1.19)	0.56	43% (0, 76)	1.11 (1.00, 1.23)	0.048	0% (0, 71)	1.29 (1.05, 1.59)	0.016	67% (28, 85)	1.35 (1.12, 1.64)	0.002	37% (0, 73)
<i>Progressive adjustment: 6 trials – 27764 patients – 5649 events</i>												
Age- and sex-adjusted	1.03 (0.90, 1.19)	0.65	54% (0, 81)	1.10 (1.00, 1.22)	0.059	0% (0, 75)	1.27 (1.02, 1.58)	0.034	72% (36, 88)	1.34 (1.10, 1.64)	0.004	48% (0, 79)
Plus prior CVD	1.04 (0.90, 1.19)	0.62	53% (0, 81)	1.10 (1.00, 1.22)	0.055	0% (0, 75)	1.27 (1.02, 1.59)	0.030	72% (35, 88)	1.35 (1.11, 1.64)	0.003	47% (0, 79)
Plus diabetes	1.04 (0.90, 1.19)	0.61	52% (0, 81)	1.11 (1.00, 1.23)	0.041	0% (0, 75)	1.28 (1.03, 1.59)	0.027	72% (34, 88)	1.37 (1.12, 1.66)	0.002	47% (0, 79)
Plus smoking	1.03 (0.90, 1.18)	0.62	50% (0, 80)	1.11 (1.01, 1.22)	0.039	0% (0, 75)	1.27 (1.03, 1.58)	0.029	71% (32, 88)	1.36 (1.12, 1.64)	0.002	41% (0, 77)
Plus SBP	1.03 (0.89, 1.19)	0.68	53% (0, 81)	1.11 (1.01, 1.23)	0.035	0% (0, 75)	1.27 (1.03, 1.58)	0.028	71% (32, 88)	1.35 (1.12, 1.64)	0.002	44% (0, 78)
Plus LDL-C _{corr}	1.04 (0.90, 1.20)	0.63	55% (0, 82)	1.12 (1.02, 1.24)	0.022	0% (0, 75)	1.30 (1.04, 1.62)	0.021	72% (36, 88)	1.40 (1.14, 1.73)	0.002	52% (0, 81)
Plus HDL-C	1.04 (0.90, 1.20)	0.57	54% (0, 82)	1.13 (1.02, 1.25)	0.019	0% (0, 75)	1.31 (1.05, 1.62)	0.016	71% (32, 87)	1.43 (1.16, 1.76)	0.001	51% (0, 80)
On-statin Lp(a)												
<i>Basic adjustment: 7 trials – 14536 patients – 2603 events</i>												
Age- and sex-adjusted	0.96 (0.82, 1.11)	0.56	18% (0, 62)	1.08 (0.94, 1.23)	0.27	0% (0, 71)	1.47 (1.19, 1.83)	<0.001	46% (0, 77)	1.47 (1.12, 1.92)	0.005	52% (0, 80)
<i>Progressive adjustment: 6 trials – 13883 patients – 2561 events</i>												
Age- and sex-adjusted	0.94 (0.80, 1.10)	0.45	18% (0, 63)	1.07 (0.94, 1.21)	0.32	0% (0, 75)	1.44 (1.20, 1.73)	0.0001	37% (0, 75)	1.44 (1.09, 1.90)	0.011	60% (1, 84)
Plus prior CVD	0.94 (0.80, 1.10)	0.44	18% (0, 63)	1.07 (0.94, 1.21)	0.33	0% (0, 75)	1.44 (1.19, 1.73)	0.0001	38% (0, 75)	1.44 (1.09, 1.90)	0.010	60% (1, 84)
Plus diabetes	0.95 (0.81, 1.11)	0.51	17% (0, 62)	1.07 (0.94, 1.22)	0.29	0% (0, 75)	1.43 (1.20, 1.71)	<0.0001	34% (0, 74)	1.45 (1.10, 1.91)	0.008	58% (0, 83)
Plus smoking	0.95 (0.82, 1.10)	0.48	8% (0, 77)	1.07 (0.94, 1.22)	0.28	0% (0, 75)	1.43 (1.19, 1.72)	0.0001	37% (0, 75)	1.44 (1.10, 1.89)	0.008	56% (0, 82)
Plus SBP	0.95 (0.82, 1.10)	0.49	9% (0, 77)	1.07 (0.94, 1.22)	0.28	0% (0, 75)	1.43 (1.19, 1.72)	0.0001	36% (0, 74)	1.44 (1.10, 1.87)	0.007	56% (0, 82)
Plus LDL-C _{corr}	0.95 (0.82, 1.11)	0.52	13% (0, 78)	1.08 (0.95, 1.23)	0.24	0% (0, 75)	1.44 (1.20, 1.74)	0.0001	38% (0, 75)	1.46 (1.12, 1.91)	0.006	55% (0, 82)
Plus HDL-C	0.96 (0.82, 1.12)	0.60	13% (0, 78)	1.09 (0.95, 1.24)	0.22	0% (0, 75)	1.45 (1.20, 1.74)	<0.0001	35% (0, 74)	1.48 (1.13, 1.92)	0.004	53% (0, 81)

CVD=cardiovascular disease. HDL-C=high-density lipoprotein cholesterol. HR=hazard ratio. LDL-C_{corr}=low-density-lipoprotein cholesterol corrected for Lp(a)-cholesterol. SBP=systolic blood pressure. *The group of patients with Lp(a) values <15 mg/dl served as reference group.

Supplementary Table 4 – Sensitivity analysis omitting varying time periods of the initial follow-up.

Lp(a) measurement / adjustment	No. of trials / patients / CVD events	Lp(a) 15-<30 mg/dL		Lp(a) 30-<50 mg/dL		Lp(a) ≥50 mg/dL	
		HR (95% CI)*	P value	HR (95% CI)*	P value	HR (95% CI)*	P value
Baseline Lp(a)							
Principal analysis	7 / 29069 / 5751	1.04 (0.91, 1.18)	0.59	1.11 (1.00, 1.22)	0.047	1.31 (1.08, 1.58)	0.005
Omitting initial 3 months	7 / 28161 / 4870	1.07 (0.95, 1.21)	0.25	1.13 (1.01, 1.28)	0.037	1.45 (1.14, 1.85)	0.002
Omitting initial 6 months	6 / 25810 / 4452	1.05 (0.92, 1.20)	0.44	1.12 (0.98, 1.28)	0.10	1.38 (1.07, 1.78)	0.012
Omitting initial 9 months	6 / 25444 / 4127	1.06 (0.94, 1.20)	0.37	1.17 (0.99, 1.37)	0.06	1.42 (1.07, 1.89)	0.014
Omitting initial 12 months	6 / 25098 / 3829	1.07 (0.93, 1.23)	0.33	1.18 (0.99, 1.41)	0.06	1.44 (1.10, 1.90)	0.008
On-statin Lp(a)							
Principal analysis	7 / 14536 / 2603	0.94 (0.81, 1.10)	0.45	1.06 (0.94, 1.21)	0.33	1.43 (1.15, 1.76)	0.001
Omitting initial 3 months	7 / 14093 / 2174	0.98 (0.85, 1.14)	0.82	1.07 (0.93, 1.23)	0.35	1.62 (1.20, 2.18)	0.001
Omitting initial 6 months	6 / 12927 / 1969	0.98 (0.81, 1.19)	0.83	1.05 (0.91, 1.22)	0.48	1.50 (1.13, 1.99)	0.005
Omitting initial 9 months	6 / 12741 / 1799	0.97 (0.81, 1.16)	0.75	1.10 (0.92, 1.31)	0.28	1.57 (1.15, 2.15)	0.005
Omitting initial 12 months	6 / 12592 / 1678	0.97 (0.82, 1.16)	0.75	1.12 (0.93, 1.35)	0.23	1.65 (1.19, 2.28)	0.003

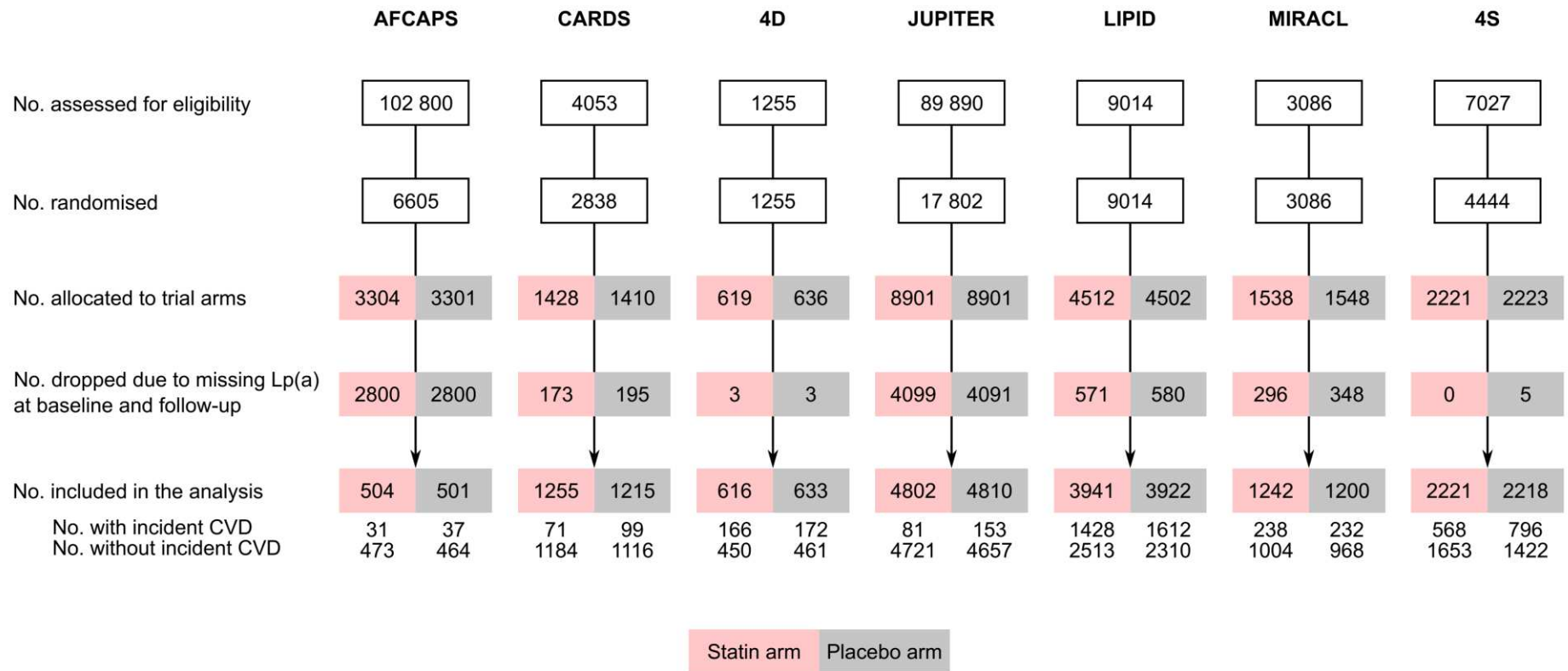
CI=confidence interval. CVD=cardiovascular disease. HR=hazard ratio. *Hazard ratios were adjusted for age and sex. The group of patients with Lp(a) values <15 mg/dl served as reference group.

Supplementary Table 5 – Trial-specific hazard ratios and covariance matrices.

Model / Trial	No. of participants / events	HR (95% CI) vs. Lp(a) <15 mg/dL*			Covariance matrix		
		Lp(a) 15-<30 mg/dL	Lp(a) 30-<50 mg/dL	Lp(a) ≥50 mg/dL	15-<30 vs. 30-<50 mg/dL	15-<30 vs. ≥50 mg/dL	30-<50 vs. ≥50 mg/dL
Baseline Lp(a): Age- and sex-adjusted							
AFCAPS	1005 / 68	1.05 (0.83, 1.34)	1.16 (0.80, 1.68)	1.46 (0.95, 2.26)	0.0211	0.0213	0.0214
CARDS	2470 / 170	1.02 (0.91, 1.15)	0.96 (0.82, 1.13)	1.20 (1.07, 1.35)	0.0086	0.0088	0.0088
4D	1249 / 338	0.92 (0.86, 0.99)	1.01 (0.95, 1.08)	1.06 (1.02, 1.10)	0.0051	0.0052	0.0051
JUPITER	9612 / 234	1.50 (1.42, 1.58)	1.46 (1.33, 1.61)	1.83 (1.70, 1.97)	0.0082	0.0083	0.0082
LIPID	7863 / 3040	0.98 (0.97, 0.98)	1.04 (1.03, 1.05)	1.12 (1.11, 1.12)	0.0006	0.0006	0.0006
MIRACL	2431 / 537	0.82 (0.79, 0.85)	1.17 (1.12, 1.22)	1.16 (1.13, 1.19)	0.0031	0.0031	0.0031
4S	4439 / 1364	1.12 (1.11, 1.13)	1.17 (1.15, 1.18)	1.74 (1.71, 1.77)	0.0013	0.0013	0.0013
Baseline Lp(a): Multivariable adjusted†							
CARDS	2299 / 161	0.97 (0.85, 1.10)	1.01 (0.86, 1.19)	1.39 (1.23, 1.56)	0.0092	0.0096	0.0093
4D	1249 / 338	0.96 (0.90, 1.04)	1.02 (0.96, 1.09)	1.09 (1.04, 1.14)	0.0052	0.0055	0.0059
JUPITER	9601 / 233	1.50 (1.42, 1.58)	1.42 (1.29, 1.57)	1.87 (1.72, 2.02)	0.0084	0.0088	0.0096
LIPID	7863 / 3040	0.99 (0.98, 0.99)	1.07 (1.06, 1.07)	1.17 (1.17, 1.18)	0.0006	0.0006	0.0007
MIRACL	2328 / 517	0.81 (0.78, 0.84)	1.21 (1.15, 1.26)	1.14 (1.10, 1.17)	0.0032	0.0032	0.0034
SSSS	4424 / 1360	1.14 (1.13, 1.16)	1.20 (1.19, 1.22)	1.82 (1.79, 1.85)	0.0013	0.0014	0.0014
On-statin Lp(a): Age- and sex-adjusted							
AFCAPS	504 / 31	1.50 (0.91, 2.46)	0.93 (0.31, 2.74)	2.51 (1.39, 4.54)	0.0510	0.0506	0.0512
CARDS	1255 / 71	1.05 (0.79, 1.40)	1.32 (0.95, 1.81)	0.83 (0.60, 1.15)	0.0205	0.0206	0.0207
4D	616 / 166	0.52 (0.42, 0.65)	0.88 (0.77, 1.00)	1.21 (1.13, 1.30)	0.0099	0.0101	0.0099
JUPITER	4802 / 81	1.40 (1.18, 1.66)	1.63 (1.25, 2.13)	1.79 (1.49, 2.16)	0.0240	0.0243	0.0240
LIPID	3941 / 1428	0.91 (0.90, 0.92)	1.03 (1.01, 1.04)	1.18 (1.17, 1.19)	0.0013	0.0013	0.0013
MIRACL	1200 / 258	0.77 (0.71, 0.84)	1.22 (1.11, 1.35)	1.46 (1.39, 1.53)	0.0070	0.0070	0.0070
4S	2218 / 568	1.01 (0.98, 1.04)	1.07 (1.04, 1.09)	1.86 (1.81, 1.91)	0.0033	0.0033	0.0034
On-statin Lp(a): Multivariable adjusted†							
CARDS	1169 / 70	1.18 (0.88, 1.57)	1.46 (1.05, 2.01)	1.04 (0.75, 1.45)	0.0219	0.0226	0.0219
4D	616 / 166	0.53 (0.42, 0.66)	0.86 (0.75, 0.99)	1.19 (1.10, 1.29)	0.0100	0.0111	0.0112
JUPITER	4796 / 80	1.36 (1.15, 1.61)	1.50 (1.12, 2.02)	1.73 (1.42, 2.11)	0.0240	0.0253	0.0251
LIPID	3941 / 1428	0.94 (0.92, 0.95)	1.05 (1.03, 1.06)	1.22 (1.21, 1.23)	0.0014	0.0014	0.0014
MIRACL	1152 / 251	0.81 (0.75, 0.88)	1.25 (1.13, 1.38)	1.44 (1.37, 1.52)	0.0072	0.0072	0.0072
4S	2209 / 566	1.02 (0.99, 1.06)	1.09 (1.06, 1.12)	1.89 (1.84, 1.94)	0.0033	0.0033	0.0034

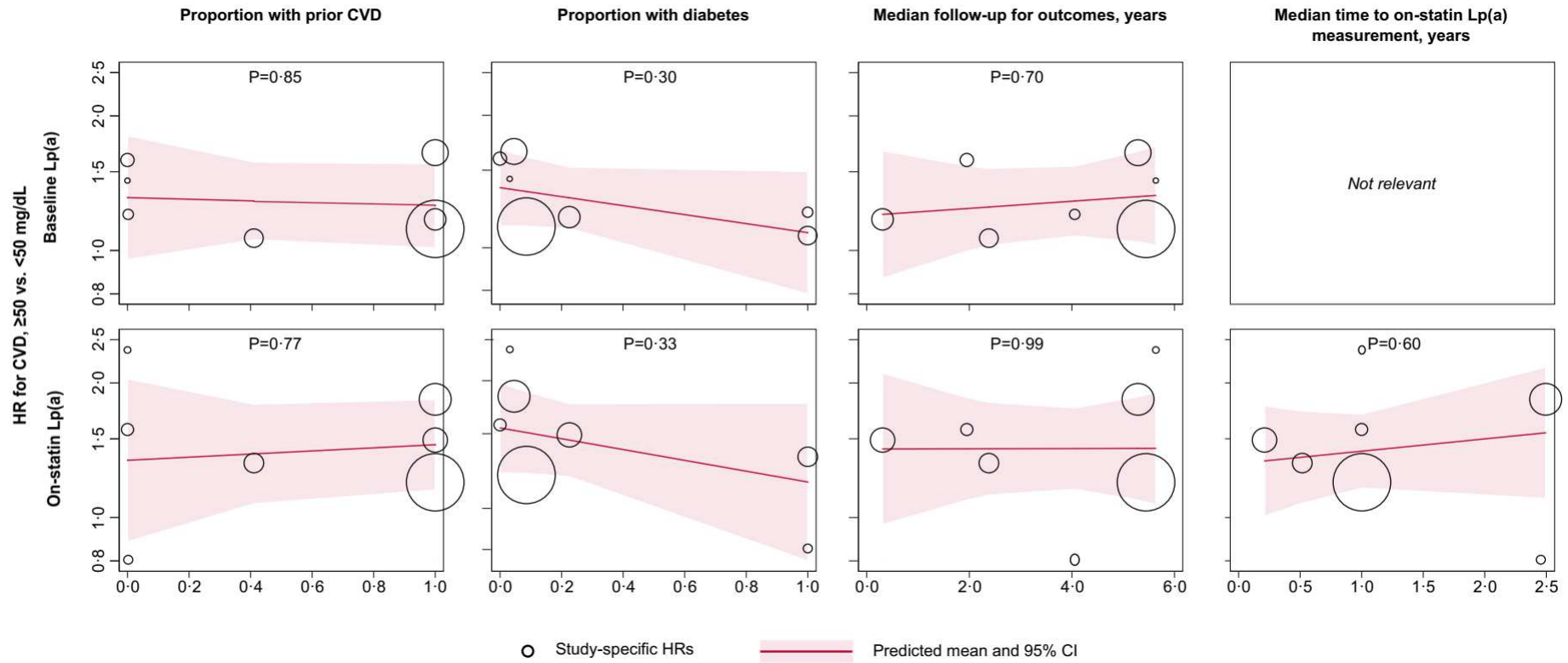
*The group of patients with Lp(a) values <15 mg/dl served as reference group. †The multivariable model was adjusted for age, sex, prior cardiovascular disease, diabetes, smoking, systolic blood pressure, low-density-lipoprotein cholesterol corrected for Lp(a)-cholesterol, and high-density lipoprotein cholesterol.

Supplementary Figure 1 – CONSORT diagram.



For full trial names, refer to footnote of Table 1. CVD=cardiovascular disease.

Supplementary Figure 2 – Associations of baseline and on-statin Lp(a) with incident cardiovascular disease by study-level characteristics.



Each circle represents one study. Sizes of circles are proportional to the inverse variances of study-specific hazard ratios. P values were calculated from meta-regression. HRs=hazard ratios.